



Assignment for the lecture Functional Analysis  
Winter term 2022/23

Sheet 7  
Due on Mon 19.12.2022

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**Exercise 1** (10 Points). Let  $S: l_2 \rightarrow l_2$  be the one-sided shift, which is determined by

$$S(a_1, a_2, a_3 \dots) = (0, a_1, a_2, a_3 \dots).$$

- Calculate  $S^*$ .
- Show that  $\sigma_p(S) = \emptyset$ .
- Determine the point spectrum of  $S^*$ .

**Exercise 2** (10 Points). Let  $A \in B(\mathcal{H})$ . We say that  $\lambda \in \mathbb{C}$  has a sequence of approximating eigenvectors if there is a sequence  $(x_n)_{n \in \mathbb{N}}$  of vectors  $x_n \in \mathcal{H}$  with  $\|x_n\| = 1$  for all  $n \in \mathbb{N}$  such that

$$\|Ax_n - \lambda x_n\| \rightarrow 0.$$

Show that any  $\lambda \in \mathbb{C}$  which admits such a sequence of eigenvectors belongs to the spectrum of  $A$ .

**Exercise 3** (10 Points). Let  $\mathcal{H}$  be an infinite-dimensional Hilbert space with ONB  $(e_n)_{n=1}^\infty$  and let  $(a_n)_{n=1}^\infty$  be a sequence of complex numbers such that

$$M := \sup_{n \in \mathbb{N}} |a_n| < \infty.$$

- Show that the prescription  $Ae_n = a_n e_n$  ( $n \in \mathbb{N}$ ) defines uniquely a bounded operator  $A$  on  $\mathcal{H}$  and that we have  $\|A\| = M$ .  
(Such an operator is called diagonalizable.)
- Prove that  $A$  is compact if and only if  $\lim_{n \rightarrow \infty} a_n = 0$ .
- Calculate the point spectrum  $\sigma_p(A)$ .
- Speculate about the spectrum  $\sigma(A)$ .

**Exercise 4** (10 Points). Let  $A, B \in B(\mathcal{H})$ .

a) Show that the non-zero elements of  $\sigma(AB)$  and of  $\sigma(BA)$  are the same i.e,

$$\sigma(AB) \cup \{0\} = \sigma(BA) \cup \{0\}.$$

b) Show that in the case  $\dim \mathcal{H} < \infty$  we even have

$$\sigma(AB) = \sigma(BA).$$

c) Show with the help of an example that the statement of (b) is not true in general in the infinite-dimensional case.