



Assignment for the lecture Functional Analysis
Winter term 2022/23

Sheet 7
Due on Mon 19.12.2022

Exercise 1 (10 Points). Let $S: l_2 \rightarrow l_2$ be the one-sided shift, which is determined by

$$S(a_1, a_2, a_3 \dots) = (0, a_1, a_2, a_3 \dots).$$

- Calculate S^* .
- Show that $\sigma_p(S) = \emptyset$.
- Determine the point spectrum of S^* .

Exercise 2 (10 Points). Let $A \in B(\mathcal{H})$. We say that $\lambda \in \mathbb{C}$ has a sequence of approximating eigenvectors if there is a sequence $(x_n)_{n \in \mathbb{N}}$ of vectors $x_n \in \mathcal{H}$ with $\|x_n\| = 1$ for all $n \in \mathbb{N}$ such that

$$\|Ax_n - \lambda x_n\| \rightarrow 0.$$

Show that any $\lambda \in \mathbb{C}$ which admits such a sequence of eigenvectors belongs to the spectrum of A .

Exercise 3 (10 Points). Let \mathcal{H} be an infinite-dimensional Hilbert space with ONB $(e_n)_{n=1}^\infty$ and let $(a_n)_{n=1}^\infty$ be a sequence of complex numbers such that

$$M := \sup_{n \in \mathbb{N}} |a_n| < \infty.$$

- Show that the prescription $Ae_n = a_n e_n$ ($n \in \mathbb{N}$) defines uniquely a bounded operator A on \mathcal{H} and that we have $\|A\| = M$.
(Such an operator is called diagonalizable.)
- Prove that A is compact if and only if $\lim_{n \rightarrow \infty} a_n = 0$.
- Calculate the point spectrum $\sigma_p(A)$.
- Speculate about the spectrum $\sigma(A)$.

Exercise 4 (10 Points). Let $A, B \in B(\mathcal{H})$.

a) Show that the non-zero elements of $\sigma(AB)$ and of $\sigma(BA)$ are the same i.e,

$$\sigma(AB) \cup \{0\} = \sigma(BA) \cup \{0\}.$$

b) Show that in the case $\dim \mathcal{H} < \infty$ we even have

$$\sigma(AB) = \sigma(BA).$$

c) Show with the help of an example that the statement of (b) is not true in general in the infinite-dimensional case.